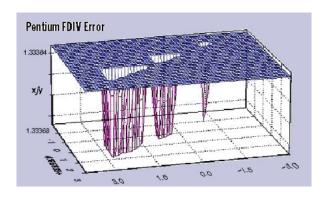


蜕变测试导引

rainoftime rainoftime.github.io pyaoaa@zju.edu.cn

保障软件质量至关重要





Intel Pentium漏洞导致 声誉和巨额经济损失



纳斯达克OMX系统发生故障 造成千万美元损失



Ariane5火箭升空数秒后爆炸 (损失85亿美元)



软件数据竞争问题导致美国 东北部大面积停电



软件漏洞导致丰田回收120万



数据竞争导致Therac25放疗 仪使用超过量的放射物

程序分析: 软件质量保证的重要手段



静态分析



动态分析















• • •

报告提纲



从测试预言问题到蜕变测试

- 测试预言问题
- 蜕变测试概述
- 蜕变关系的属性

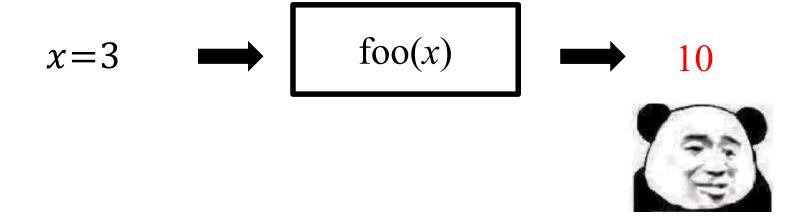
面向SMT求解器的蜕变测试

- · SMT求解器及其逻辑缺陷
- 基于语义融合的方法
- 基于近似枚举的方法

逻辑缺陷(正确性缺陷)



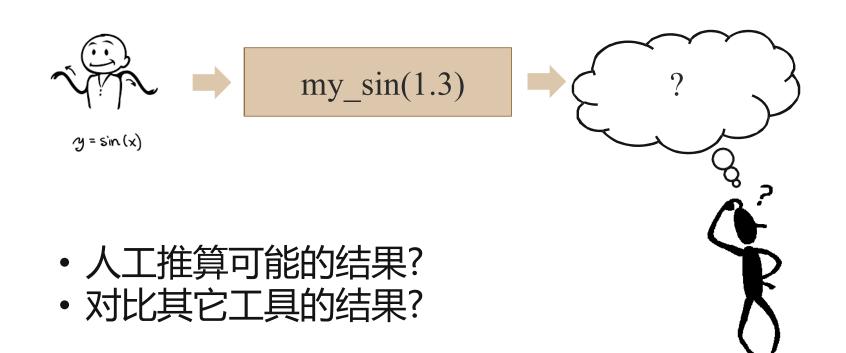
• 假设函数 foo(x)的目的是"计算并返回x的平方"



例: 测试my_sin的逻辑缺陷



• 假设程序 my_sin 的目标是实现三角函数 sin



测试预言(Test Oracle)

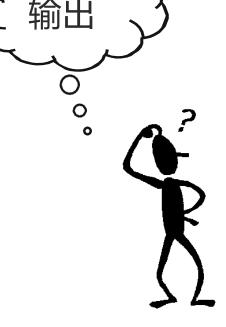


给定测试输入, 判断输出正确与否的机制



对发现逻辑缺陷至关重要

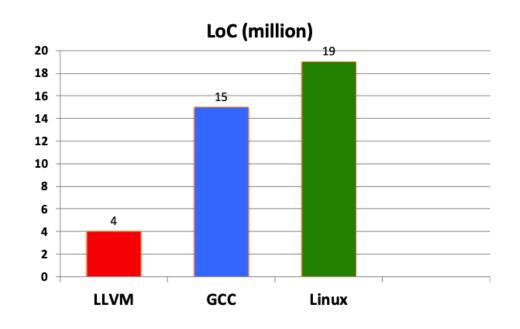
- my sin 实现了 sin 函数吗?
- Coq证明了我的命题吗?



测试预言问题(Oracle Problem)



- Elaine Weyuker: "On Testing Non-testable Programs", 1982
- 理论上存在,但实际上很难(自动)检查



• GCC把Linux编译对了么?



你这是在为难我胖虎

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蜕变测试(Metamorphic Testing)



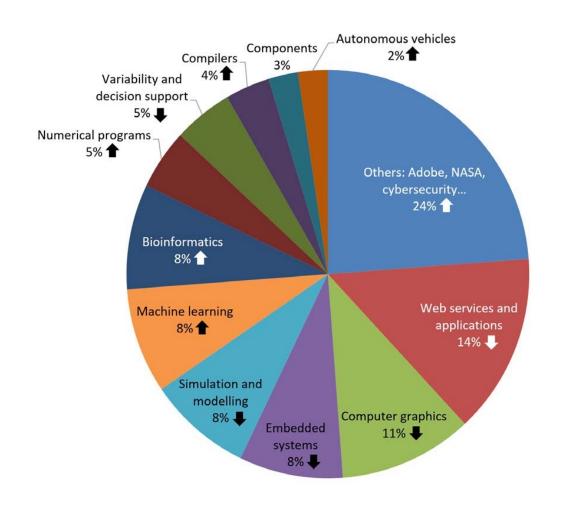
- 一类解决/缓解测试预言问题的方法 [T.Y. Chen et al., 1998]
- 主要想法: 利用相关领域知识、交叉检查多组输入及其输出

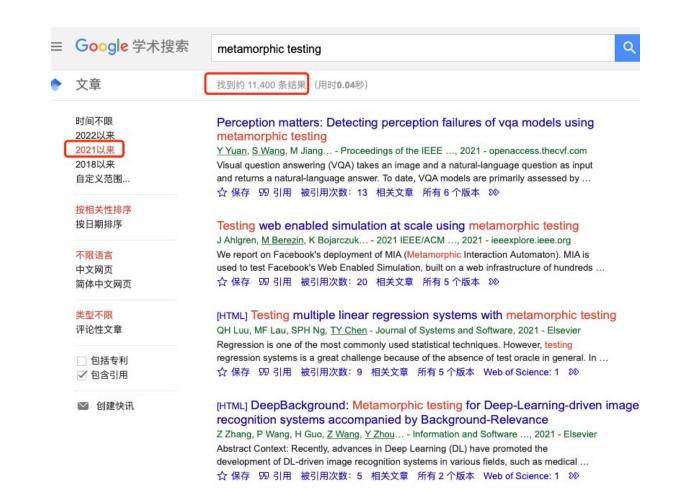


虽然很难知道**各个具体输入的输出**应该是什么, 但可以利用**多组输入/输出之间的关系**

蜕变测试的应用



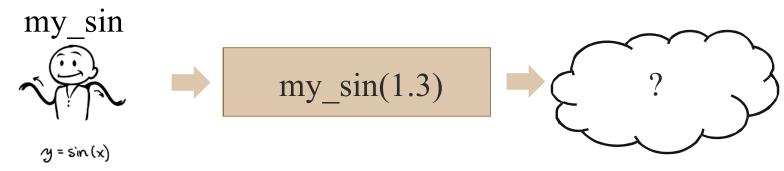




例: 测试my_sin函数



• 假设程序 my_sin 的目标是实现三角函数 sin

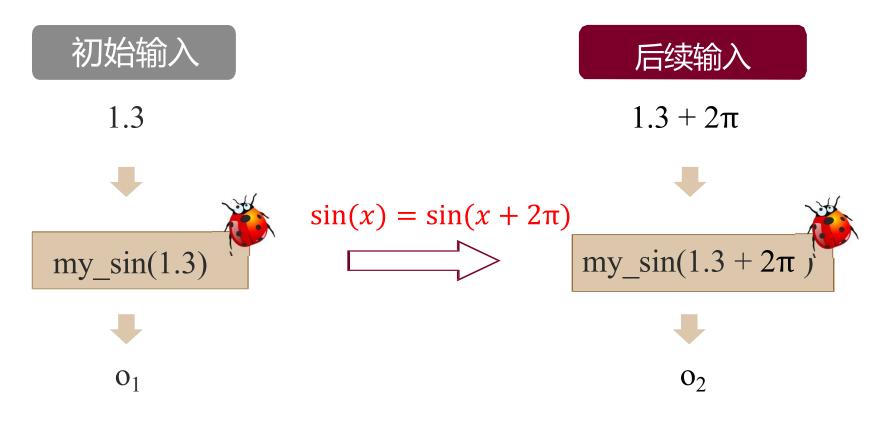


- 不知道: my_sin(1.3) 应该返回什么?
- 但是知道: $sin(x) = sin(x + 2\pi)$



例: 测试my_sin函数

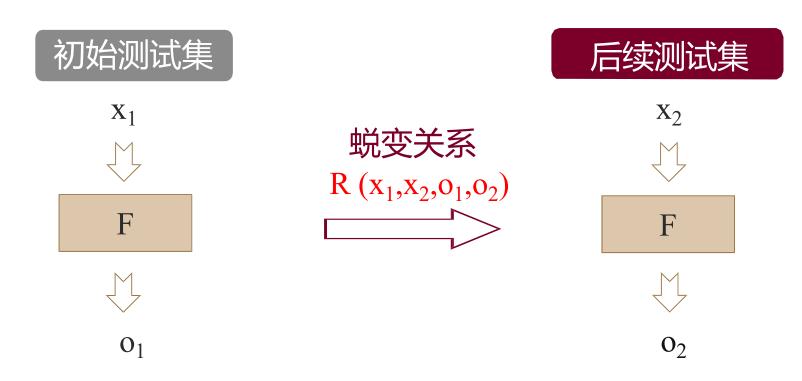




检查: $\mathbf{o}_1 = \mathbf{o}_2$?

蜕变测试的基本要素





检查R是否被违背

蜕变测试工作流程



- 1 发现/设计蜕变关系
- 2 收集并运行初始测试集
- 3 根据蜕变关系构造后续测试集
- 4 检查是否违背蜕变关系

练习: 为my_sin填空



1 蜕变关系

$$\sin(x) = \sin(x + 2\pi)$$

2 起始测试集

1.2

3 构造后续测试集

 $1.2 + 2\pi$

4 检查蜕变关系

 $my_sin(1.2) = my_sin(1.2 + 2\pi)$?

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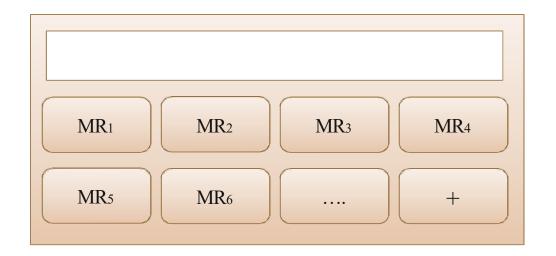
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讨论: 蜕变关系是唯一的么?



一个被测程序可能有多个(甚至无穷个)蜕变关系

- $\sin(x) = \sin(x + 2\pi)$
- $\sin(x+\pi) = -\sin(x)$
- $\sin(\pi x) = \sin(x)$



讨论: 蜕变关系 = 相等关系?



蜕变关系不限于相等关系, 也不限于数值关系



• 若 $|x| \le \pi/2$,则 $x < y => \sin(x) < \sin(y)$

讨论: 每个蜕变关系都能找到Bug?



不同蜕变关系可能有不同的缺陷查找效果

蜕变关系1



蜕变关系2



蜕变关系3

小结: 从测试预言问题到蜕变测试



测试预言和测试预言问题

On Testing Non-testable Programs

Elaine J. Weyuker

Department of Computer Science, Courant Institute of Mathematical Sciences, New York University, 251 Mercer Street, New York, New York 10012, USA

A frequently invoked assumption in program testing is that there is an oracle (i.e. the tester or an external mechanism can accurately decide whether or not the output produced by a program is correct). A program is non-testable if either an oracle does not exist or the tester must expend some extraordinary amount of time to determine whether or not the output is correct. The reasonableness of the oracle assumption is examined and the conclusion is reached that in many cases this is not a realistic assumption. The consequences of assuming the availability of an oracle are examined and alternatives investigated.

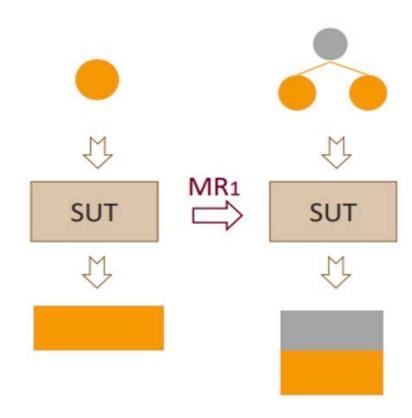
1. INTRODUCTION

It is widely accepted that the fundamental limitation of using program testing techniques to determine the correctness of a program is the inability to extrapolate from the correctness of results for a proper subset of the input domain to the program's correctness for all elements of the domain. In particular, for any proper subset of the domain there are infinitely many programs which produce the correct output on those elements, but produce an incorrect output for some other domain element.

tics of programs for which such assumptions are not valid. Section 3 considers how to test such programs, Section 4 looks at techniques which are particularly applicable to numerical and scientific computations, and Section 5 discusses the consequences of accepting the oracle assumption. Section 6 concludes with suggestions for software users and procurers.

2. THE ORACLE ASSUMPTION AND NON-TESTABLE PROGRAMS

蜕变测试与蜕变关系



报告提纲



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- 蜕变关系的属性

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Satisfiability Module Theories (SMT) 问题



$$\varphi: x > 0 \land x < 0$$
UNSAT

Satisfiability Module Theories (SMT) 问题



$$\varphi: x > 0 \land x < 1$$
SAT

Satisfiability Module Theories (SMT) 求解器



- 如果x是整数: x * x = 3 UNSAT
- 如果x是实数: x * x = 3 SAT



Satisfiability Module Theories (SMT) 求解器



- Z3求解器: 获得多个领域的重要奖项
 - 形式化方法
 - TACAS most influential paper award
 - ETAPS test-of-the-time award
 - CAV award
 - 编程语言
 - SIGPLAN software award
 - 自动推理
 - Skolem award
 - Herbrand award



SMT求解器的一些应用



- 寻找可满足解
 - 生成测试用例 [OSDI'08 最佳论文]
 - 生成线程调度 [PLDI'13 杰出论文(亚洲首次)]



- 证明不可满足
 - 检查验证条件 [POPL'02, 软件模型检验"开山之作"]
 - 检查类型签名 [ICFP'14, "自带SMT求解器的编译器"]

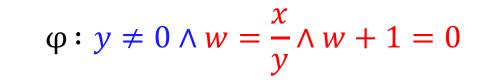


亚马逊每天调用数千万次Z3和CVC4 SMT求解器![1]

例: 基于SMT求解的程序分析

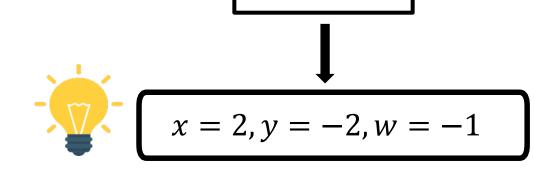


```
1 int main() {
2   int x, y = input();
3   if(y != 0) {
4     int w = x / y;
5     print(10 / (w + 1));
6   }
7 }
```





第5行可能有除零错误吗?



港科大近期相关成果:

Guo et al., Precise Divide-By-Zero Detection with Affirmative Evidence, ICSE'22

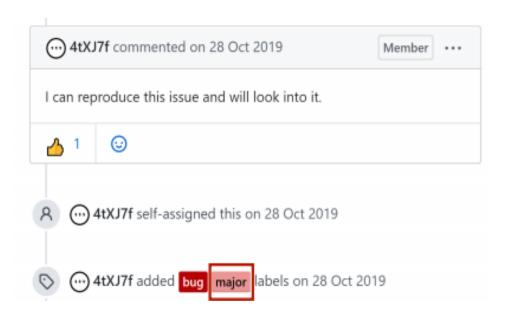
SMT求解器的逻辑缺陷



$$\varphi: \frac{a}{b} = -1$$
 \longrightarrow SMT求解器 \longrightarrow UNSAT



```
% cat formula.smt2
(declare-fun a () Int)
(declare-fun b () Int)
(assert (= (div a b) (- 1)))
(check-sat)
% z3 formula.smt2
sat
% cvc4 formula.smt2
```



SMT求解器逻辑缺陷的影响





% cat formula.smt2
(declare-fun a () Int)
(declare-fun b () Int)
(assert (= (div a b) (- 1)))
(check-sat)
% z3 formula.smt2
sat
% cvc4 formula.smt2

- 分析结果变得不可信
- 可能错过关键漏洞

SMT求解器自动测试的挑战

2. 如何获得测试预言



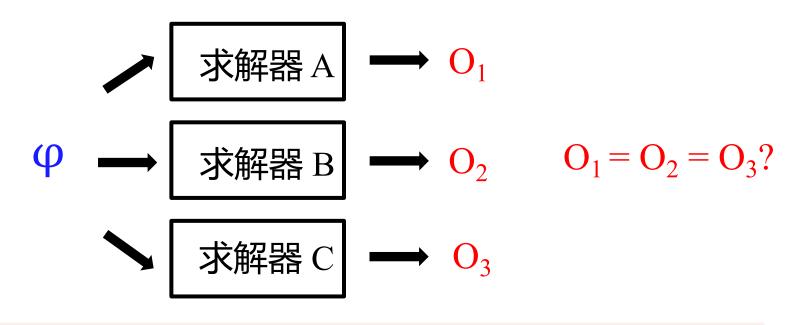


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获得测试预言的可能方案



差分测试(differential testing) e.g., [SMT'09, CAV'18, OOPSLA'20, ...]



局限: 不能处理特殊类型的约束(比如只有求解器A支持)

报告提纲



蜕变测试方法简介

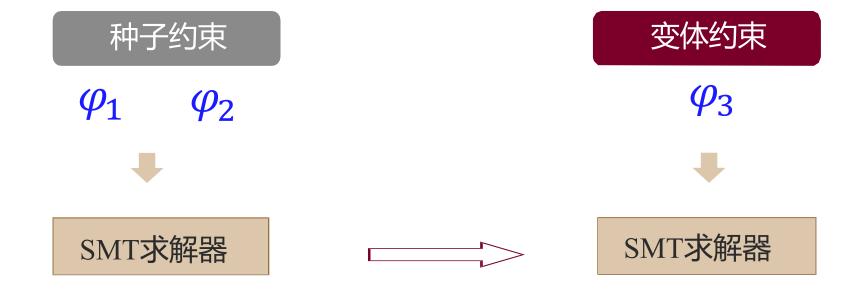
- 测试预言问题
- 蜕变测试概述
- 蜕变关系的属性

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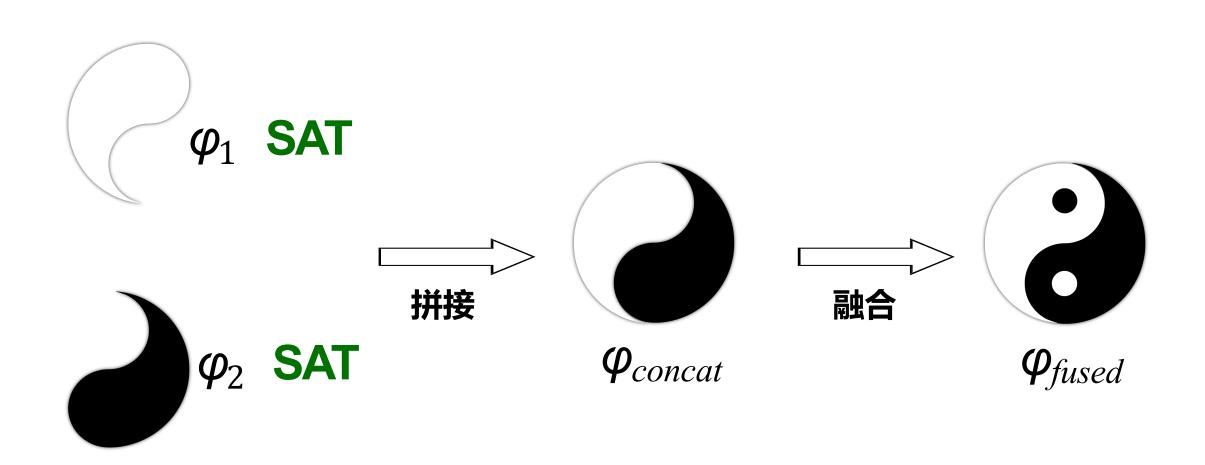
YinYang: 基于语义融合的蜕变测试 [Winterer et al. PLDI'20]

• "融合"已知可满足性的种子约束,得到带预期结果的新约束



语义融合: 方法流程





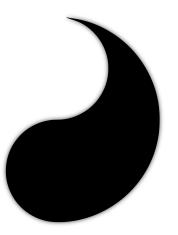
例: 拼接两个约束



$$\varphi_1 = x > 0 \land x > 1$$
 SAT

$$\varphi_2 = y < 0 \land y < 1$$
 SAT





例: 拼接两个约束



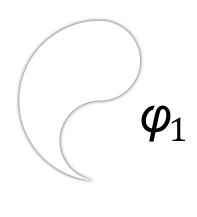
$$\varphi_{1} \qquad \varphi_{2}$$

$$\varphi_{concat} = (x > 0 \land x > 1) \land (y < 0 \land y < 1) \text{ SAT}$$

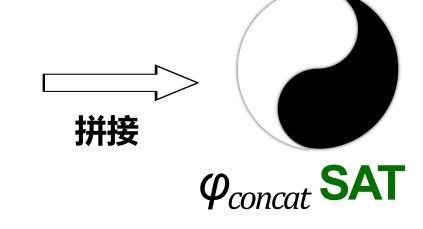
$$y = -2$$

讨论: $\varphi_1, \varphi_2, \varphi_{concat}$ 是否构成某种蜕变关系?











是的 没错

$$(x > 0 \land x > 1) \land (y < 0 \land y < 1)$$

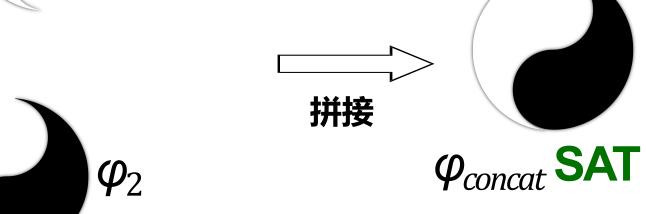
 $\varphi_1 \qquad \varphi_2$

讨论: 直接把 φ_{concat} 作为变体约束?





局限: 变体约束搜索空间有限(影响方法效果)

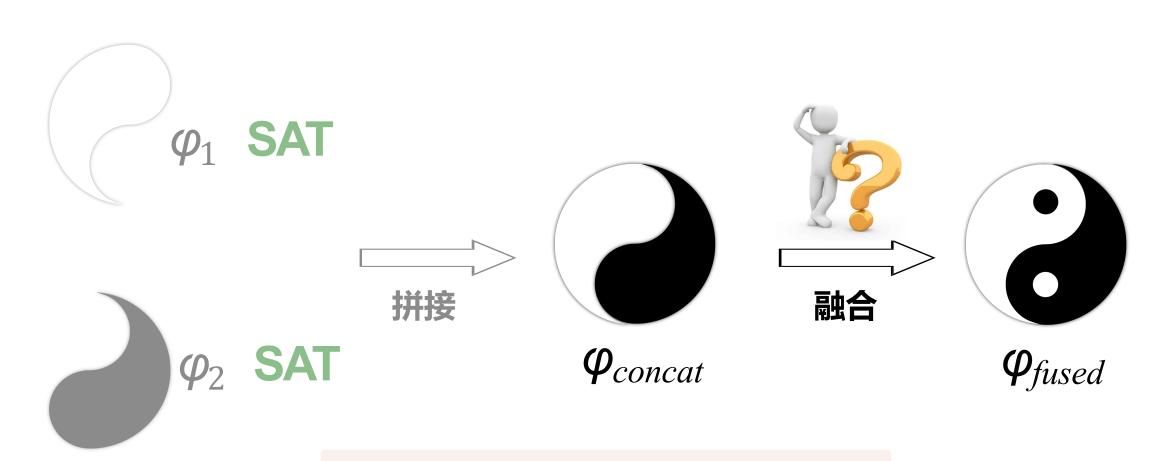


$$(x > 0 \land x > 1) \land (y < 0 \land y < 1)$$

$$\varphi_1 \qquad \varphi_2$$

关键挑战: 如何进一步做融合?





目标:形式多样性;可满足性明确

解决方案: 融合函数(Fusion Function)



$$\varphi_{1}$$
 φ_{2}

$$\varphi_{concat} = (x > 0 \land x > 1) \land (y < 0 \land y < 1)$$
 SAT

$$z = x + y$$

Fusion Function

构造融合函数的逆函数



$$\varphi_{1} \qquad \varphi_{2}$$

$$\varphi_{concat} = (x > 0 \land x > 1) \land (y < 0 \land y < 1) \text{ SAT}$$

$$z = x + y$$

$$x = z - y \qquad y = z - x$$

Inversion Function

利用逆函数做变量替换



$$\varphi_{concat} = (x > 0 \land x > 1) \land (y < 0 \land y < 1)$$
 SAT
$$z = x + y$$

$$x = z - y \qquad y = z - x$$

利用逆函数做变量替换



$$\varphi_{fused} = (x > 0 \land (z - y) > 1) \land ((z - x) < 0 \land y < 1) \text{ SAT}$$

$$z = x + y$$

$$x = z - y \qquad y = z - x$$

φ_{fused}保留了φ_{concat}的可满足性



$$\varphi_{concat} = (x > 0 \land x > 1) \land (y < 0 \land y < 1)$$

$$x = 2$$

$$y = -2$$

$$\varphi_{fused} = (x > 0 \land (z - y) > 1) \land ((z - x) < 0 \land y < 1)$$

$$x = x + y$$

$$x = x - y$$

$$y = x - x$$

Ψ_{fused} 保留了 Ψ_{concat} 的可满足性

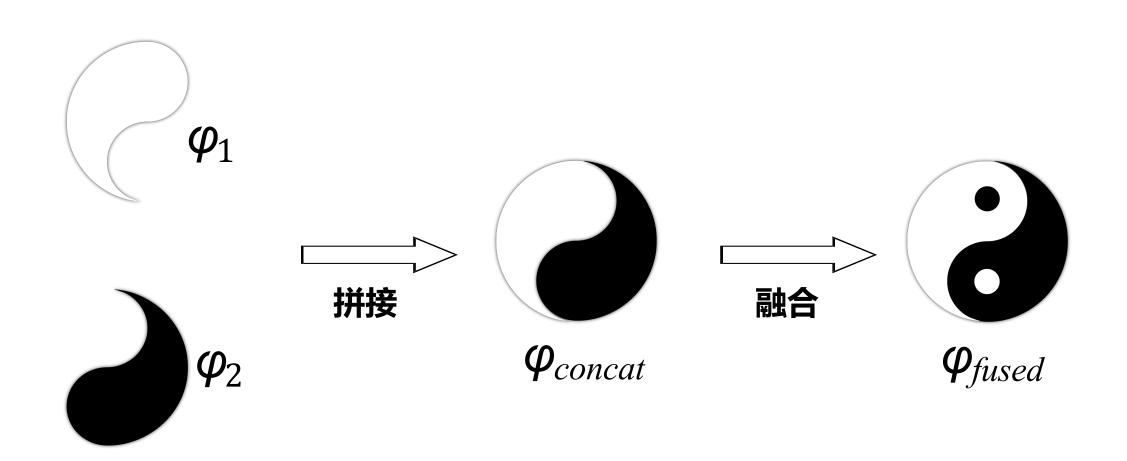


$$\varphi_{concat} = (x > 0 \land x > 1) \land (y < 0 \land y < 1)$$

$$\varphi_{fused} = (x > 0 \land (z - y) > 1) \land ((z - x) < 0 \land y < 1)$$
 SAT

基于语义融合的方法





YinYang部分实验结果



• 测试时间: 2019.07-2019.10

Status	Z 3	CVC4	Total
Reported	45	13	58
Confirmed	38	8	46
Fixed	36	6	42
Duplicate	4	1	5
Won't fix	2	0	2

Туре	Z 3	CVC4	Total
Soundness	24	6	30
Crash	11	1	12
Performance	1	2	3
Unknown	1	0	1

Logic	Z 3	CVC4	Total
NIA	2	1	3
NRA	15	1	16
QF_NIA	0	1	1
QF_NRA	2	0	2
QF_S	16	4	20
QF_SLIA	3	1	4

语义融合方法的一些特征



需要知道种子约束的可满足性

Semantic Fusion

Semantic Fusion
$$\varphi_{concat} = (x > 0 \land x > 1) \land (y < 0 \land y < 1)$$

$$x = 2$$

$$y = -2$$

$$\varphi_{fused} = (x > 0 \land (z - y) > 1) \land ((z - x) < 0 \land y < 1)$$

$$x = x + y$$

$$x = x - y$$

$$y = x - x$$

融合函数限制了变体约束搜索空间

Type Int	Fusion Function $x + y$	Variable Inversion Functions			
		r_x	r_y		
		z-y	z - x		
	x + c + y	z-c-y	z-c-x		
	x * y	z div y	z div x		
	$c_1 * x + c_2 * y + c_3$	$(z-c_2*y-c_3)\ div\ c_1$	$(z-c_1*x-c_3)\ div\ c_2$		
Real	x + y	z-y	z-x		
	x + c + y	z-c-y	z-c-x		
	x * y	z/y	z/x		
	$c_1 * x + c_2 * y + c_3$	$(z-c_2*y-c_3)/c_1$	$(z-c_1*x-c_3)/c_2$		
String	x str++ y	str.substr z 0 (str.len x)	str.substr z (str.len x) (str.len		
	x str++y	str.substr z 0 (str.len x)	str.replace z x ""		
	x str++ c str++ y	str.substr z 0 (str.len x)	str.replace (str.replace z x "") c ""		

报告提纲



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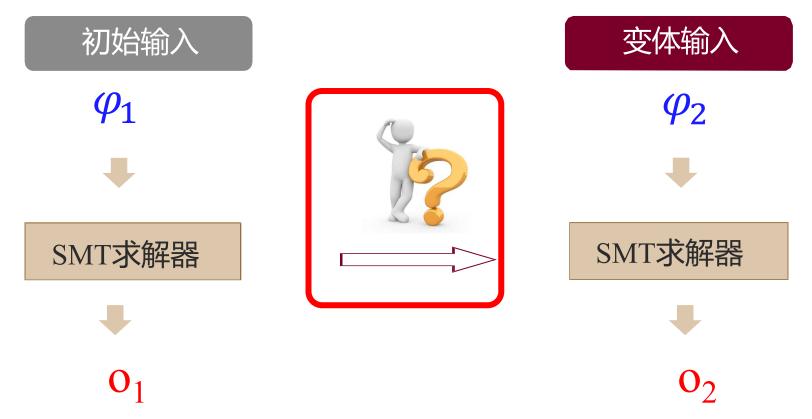
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Sparrow-基于近似枚举的蜕变测试 [ESEC/FSE'21]



· 对任意种子约束做等可满足性变换

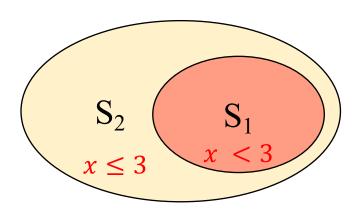


核心想法:逻辑近似指导约束变换



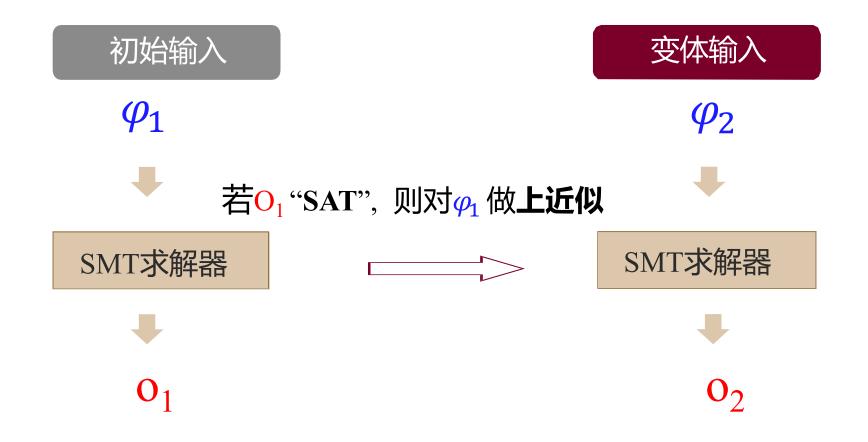
下近似 $S_2 \rightarrow S_1$ 若 S_2 UNSAT, 则 S_1 一定也UNSAT

上近似 $S_1 \rightarrow S_2$ 若 S_1 SAT, 则 S_2 一定也SAT



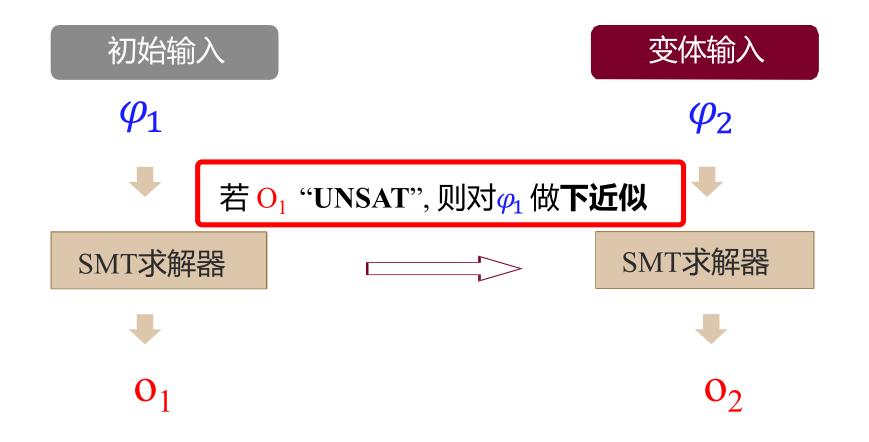
逻辑近似指导的变换





逻辑近似指导的变换





关键挑战: 如何对约束做上/下近似?



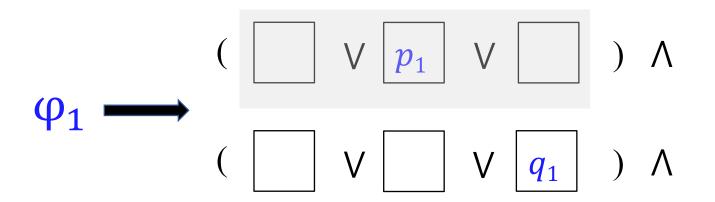
- 在形式化方法领域有广泛研究
- 大部分现有的算法
 - 只支持特定类型的约束, e.g., [Bryant et al. TACAS'07]
 - 涉及重量级的逻辑推理, e.g., [McMillan et al. CAV'06]

目标:通用且轻量级的逻辑近似方法?



解决方案: 基于骨架的近似枚举





1. 变换到 Conjunctive Normal Form (CNF)形式





2. 枚举局部/原子层面的近似

原子层面的近似策略



1. 谓词符号变换

• E.g., x < y + 3 是对 $x \le y$ 的上近似(3为随机生成)

2. 约束片段植入

- "p \ f" 是对p 的上近似
- " $p \land f$ " 是对p 的下近似

f 是任意随机生成的约束片段

Sparrow部分实验结果



• 测试时间: 2020.11-2022.02

Table 3: Status of the bugs found by Sparrow.

Status	Z 3	CVC4	Total
Reported	38	46	84
Confirmed	30	42	72
Fixed	28	40	68
Duplicate	1	2	3
Invalid	7	2	9

Table 4: Bug type of the confirmed bugs.

Type	Z 3	CVC4	Total	Fixed
Soundness	4	4	8	8
Invalid model	10	12	22	20
Crash	16	26	42	40

小结: 面向SMT求解器的蜕变测试



基于语义融合的蜕变测试

$$\varphi_{concat} = (x > 0 \land x > 1) \land (y < 0 \land y < 1)$$

$$x = 2$$

$$y = -2$$

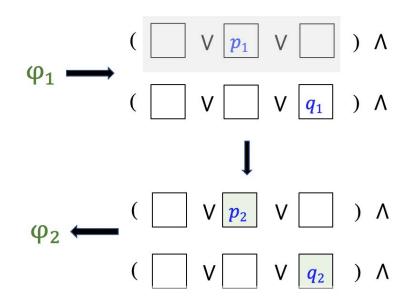
$$\varphi_{fused} = (x > 0 \land (z - y) > 1) \land ((z - x) < 0 \land y < 1)$$

$$z = x + y$$

$$x = z - y$$

$$y = z - x$$

基于近似枚举的蜕变测试



蜕变测试导引: 课程小结



从测试预言问题到蜕变测试

面向SMT求解器的蜕变测试

开放问题: 蜕变关系在测试用例生成中的作用?

课后练习: 调研其它应用



Finding Bugs in Database Systems via Query Partitioning

MANUEL RIGGER, Department of Computer Science, ETH Zurich, Switzerland ZHENDONG SU, Department of Computer Science, ETH Zurich, Switzerland

Metamorphic Testing of Deep Learning Compilers

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Metamorphic Testing: A Review of Challenges and Opportunities

TSONG YUEF HUAI LIU, Vic PAK-LOK PO DAVE TOWEY T. H. TSE, The ZHI QUAN ZI

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A Survey on Metamorphic Testing

Sergio Segura, Member, IEEE, Gordon Fraser, Member, IEEE, Ana B. Sanchez, and Antonio Ruiz-Cortés

Abstract—A test oracle determines whether a test execution reveals a fault, often by comparing the observed program output to the expected output. This is not always practical, for example when a program's input-output relation is complex and difficult to capture formally. Metamorphic testing provides an alternative, where correctness is not determined by checking an individual concrete output but by applying a transformation to a test input and observing how the program output "morphs" into a different one as a result. Since the introduction of such metamorphic relations in 1996, many contributions on metamorphic testing have been made, and the technique has seen successful applications in a variety of domains, ranging from web services to computer graphics. This article provides a practice in empirical studies of metamorphic testing as well as the main open challenges

Index Terms-Metamorphic testing, oracle problem, survey

1 INTRODUCTION

Oduring software development to detect faults in pro- the oracle problem, but it can also be highly automated. grams. Testing consists of executing a program with test gram is correct or not, a so-called test oracle [1]. Often, the test puts can be found in earlier articles on testing of numerical oracle consists of comparing an expected output value with the observed output, but this may not always be feasible. For the literature on metamorphic testing has flourished with example, consider programs that produce complex output, numerous techniques, applications and assessment studies by a compiler-predicting the correct output for a given papers present overviews of metamorphic testing, they are input and then comparing it with the observed output may tal challenges of software testing [1], [2], [3], [4].

ate the oracle problem. It is based on the idea that often it is result, publications on metamorphic testing remain scattered simpler to reason about relations between outputs of a program, than it is to fully understand or formalise its inputoutput behaviour. The prototypical example is that of a program that computes the sine function: What is the exact morphic testing, covering 119 papers published between value of sin (12)? Is an observed output of -0.5365 correct? 1998 and 2015. To provide researchers and practitioner A mathematical property of the sine function states that with an entry point, Section 2 contains an introduction to $\sin(x) = \sin(\pi - x)$, and we can use this to test whether metamorphic testing. All papers were carefully reviewed $\sin{(12)} = \sin{(\pi - 12)}$ without knowing the concrete values and classified, and the review methodology followed in our of either sine calculation. This is an example of a metamorphic relation; an input transformation that can be used to selected papers are detailed in Section 3. We summarise the generate new test cases from existing test data, and an output relation, that compares the outputs produced by a pair phic testing in Section 4. Across all surveyed papers, we

- Manuscript received 11 July 2015; revised 9 Feb. 2016; accepted 14 Feb. 2016. questions on how to derive effective metamorphic relations

Manuscript received 11 July 2015; revised 9 Feb. 2016, accepted 14 Feb. 2016. Date of publication 28 Feb. 2016; date of current version 23 Sept. 2016. Recommended for acceptance by P. Tonella. For information on obtaining reprints of this article, please send e-mail to: reprints@ace.org, and reference the Digital Object Identifier below. Digital Object Identifier no. 10.1109/TSE.2016.2532875

COFTWARE testing is an essential but costly activity applied of test cases. Metamorphic testing does not only alleviate

The introduction of metamorphic testing can be traced inputs, and to detect faults there needs to be some procedure back to a technical report by Chen et al. [5] published in 1998. by which testers can decide whether the output of the proprograms [6], [7] and fault tolerance [8]. Since its introduction, like complicated numerical simulations, or code generated that have not been fully reviewed until now. Although some usually the result of the authors' own experience [9], [10], be non-trivial and error-prone. This problem is referred to as [11], [12], [13], review of selected articles [14], [15], [16] or surthe oracle problem and it is recognised as one of the fundamenarticle, the only known survey on metamorphic testing is Metamorphic testing [5] is a technique conceived to allevi- written in Chinese and was published in 2009¹ [17]. As a

In this article, we present an exhaustive survey on metasurvey as well as a brief summary and analysis of the identified more than 12 different application areas, ranging from web services through simulation and modelling to computer graphics (Section 5). Of particular interest for S. Segura, A.B. Sánchez, and A. Ruiz-Cortés are with the Department of researchers is a detailed analysis of experimental studies 5. Seguis, An. Smithel, find A. Rith.-kerie site was not separation or researchers is a detailed analysis of experimental studies of committee or security of the committee of t as well as how to reduce the costs of testing with them.

1. Note that 86 out of the 119 papers reviewed in our survey were

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